

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **320.00**

Complete If Known

Application Number	09/476,633
Filing Date	12/31/99
First Named Inventor	Li-Shun Wang, et al.
Examiner Name	Garcia, J.
Group Art Unit	2823
Attorney Docket Number	42390P7832

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number **02-2666**
Deposit Account Name **Blakely, Sokoloff, Taylor & Zafman**

The Commissioner is authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application.
 Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account.

FEE CALCULATION

1. FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370 Utility filing fee
106	330	206	185 Design filing fee
107	510	207	255 Plant filing fee
108	740	208	370 Reissue filing fee
114	160	214	80 Provisional filing fee

SUBTOTAL (1) (\$)

2. CLAIMS

Total Claims	Independent Claims	Extra	Fee from below	Fee Paid
27	9	=	X =	=

Multiple Dependent Claims

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
----------------------------	----------------------------	-----------------

103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

* or number previously paid if greater. For Reissues, see above

3. ADDITIONAL FEE

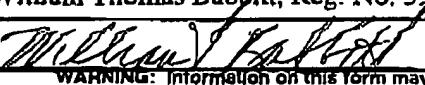
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920	112 920 Requesting publication of SIR prior to Examiner action	
113	1,840	113 1,840 Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for response within first month	
116	400	216 200 Extension for response within second month	
117	820	217 460 Extension for response within third month	
118	1,440	218 720 Extension for response within fourth month	
128	1,960	228 980 Extension for response within fifth month	
119	320	218 160 Notice of Appeal	320
120	320	220 160 Filing a brief in support of an appeal	
121	280	221 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidably	
141	1,280	241 640 Petition to revive - unintentionally	
142	1,280	242 640 Utility issue fee (or reissue)	
143	460	243 230 Design issue fee	
144	620	244 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	180	126 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	
146	740	246 370 Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	248 370 For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279 370 Request for Continued Examination (RCE)	
169	900	169 800 Request for expedited examination of a design application	
Other fee (specify) _____			

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **320.00**

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	William Thomas Babbitt, Reg. No. 39,591	Registration No. (Attorney/Agent)		Telephone	(310) 207-3800
Signature			Date	03/18/02	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PRESERVE AVAILABLE COPY

FAX COPY RECEIVED

1 JUN 20 2002

TECHNOLOGY CENTER 2800

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/476,633
		Filing Date	December 31, 1999
		First Named Inventor	Li-Shun Wang
		Group Art Unit	2823
		Examiner Name	Garcia, J.
Total Number of Pages in This Submission	5	Attorney Docket Number	42390P7832

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input checked="" type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

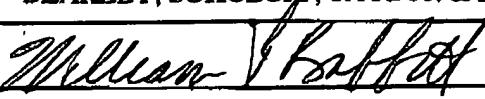
Facsimile Transmission Sheet

FAX COPY RECEIVED

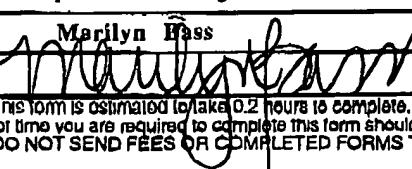
JUN 20 2002

TECHNOLOGY CENTER 2800

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	William Thomas Babbitt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	
Date	March 18, 2002

CERTIFICATE OF MAILING (OR TRANSMISSION)I hereby certify that this correspondence is being transmitted via facsimile under 37 CFR §1.8 on: March 18, 2002

Typed or printed name	Marilyn Bass	Date	03/18/02
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

BEST AVAILABLE COPY

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLPA LIMITED LIABILITY PARTNERSHIP
INCLUDING LAW CORPORATIONS

TELEPHONE (310) 207-3800

FACSIMILE (310) 820-5988
(310) 820-5270B8TZ_MAIL@B8TZ.COM
WWW.B8TZ.COM

INTELLECTUAL PROPERTY LAW

12400 WILSHIRE BOULEVARD
SEVENTH FLOOR

LOS ANGELES, CA 90025-1030

OTHER OFFICES

AUSTIN, TX
SUNNYVALE, CA
COSTA MESA, CA
SAN DIEGO / LA JOLLA, CA
PORTLAND / LAKE OSWEGO, OR
SEATTLE / KIRKLAND, WA
DENVER / ENGLEWOOD, CO**FACSIMILE TRANSMITTAL SHEET**

Deliver to: Examiner Joannie Garcia

Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2823

Fax Number: (703) 308-7722 or 7724 Telephone No.: (703) 306-5733

From: Thomas J. Treutler Reg. No.: 51,126

Date: June 20, 2002 Time:

B8TZ Matter: 042390,P7832 Number of pages including cover sheet: 07

In Re Patent Application of: Li-Shun Wang, John Chu

Application No.: 09/476,633

Filed: December 31, 1999

Title: REMOVAL OF RESIDUE FROM A SUBSTRATE

Enclosed are the following documents:

<input type="checkbox"/> Amendment/Response (____ pgs)	<input type="checkbox"/> Declaration & PofA (____ pgs)	<input type="checkbox"/> Reply Brief (____ pgs)
<input type="checkbox"/> Appeal Brief (____ pgs) (in triplicate)	<input type="checkbox"/> Drawings: # of sheets w/ sigs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (____ pgs)	<input type="checkbox"/> Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (____ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (____ pgs)	<input type="checkbox"/> IDS & PTO 1449 (____ pgs)	<input checked="" type="checkbox"/> Transmittal Letter (01 pgs)
<input type="checkbox"/> 1.53(b) Divisional Application (____ pgs)	<input type="checkbox"/> Issue Fee Transmittal (____ pgs)	<input type="checkbox"/> X Fee Transmittal (01 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (____ pgs)	<input checked="" type="checkbox"/> Notice of Appeal (01 pgs)	<input type="checkbox"/> X Other: Copy of Facsimile Transmittal Sheet and Confirmation Report dated March 18, 2002 (2 pgs)
<input type="checkbox"/> 1.53(d) CPA Transmittal (____ pgs)	<input type="checkbox"/> Petition re _____ (____ pgs)	
<input type="checkbox"/> PCT Application (____ pgs)	<input type="checkbox"/> Power of Attorney (____ pgs)	
<input type="checkbox"/> Provisional Application (____ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (____ pgs)	
<input type="checkbox"/> Assignment & Cover Sheet (____ pgs)	<input type="checkbox"/> Supplemental Declaration (____ pgs)	
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (____ pgs)	

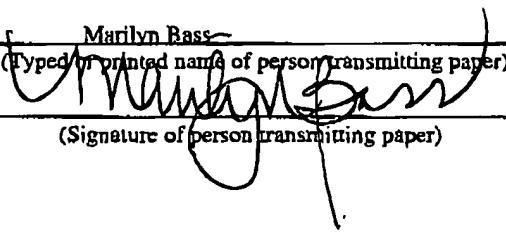
CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

Date of Transmission June 20, 2002

FAX COPY RECEIVED

JUN 20 2002

Marilyn Bass
 (Typed or printed name of person transmitting paper)

 (Signature of person transmitting paper)

TECHNOLOGY CENTER 2800

BEST AVAILABLE COPY

BEST AVAILABLE C

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

A LIMITED LIABILITY PARTNERSHIP
INCLUDING LAW CORPORATIONS

TELEPHONE (310) 207-3800

FACSIMILE (310) 820-5988
(310) 820-5270B^STZ_MAIL@B^STZ.COM
WWW.B^STZ.COM

INTELLECTUAL PROPERTY LAW

12400 WILSHIRE BOULEVARD
SEVENTH FLOOR

LOS ANGELES, CA 90025-1030

OTHER OFFICES

AUSTIN, TX
SUNNYVALE, CA
COSTA MESA, CA
SAN DIEGO / LA JOLLA, CA
PORTLAND / LAKE OSWEGO, OR
SEATTLE / KIRKLAND, WA
DENVER / ENGLEWOOD, CO

FACSIMILE TRANSMITTAL SHEET

Deliver to: Examiner Joannie Garcia

Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2823

Fax Number: (703) 308-7722 or 7724 Telephone No.: (703) 306-5733

From: William Thomas Babbitt Reg. No.: 39,591

Date: March 18, 2002 Time:

BSTZ Matter: 042390.P7832 Number of pages including cover sheet: 05

In Re Patent Application of: Li-Shun Wang, John Chu

Application No.: 09/476,633

Filed: December 31, 1999

Title: REMOVAL OF RESIDUE FROM A SUBSTRATE

Enclosed are the following documents:

<input type="checkbox"/> Amendment/Response (____ pgs)	<input type="checkbox"/> Declaration & PofA (____ pgs)	<input type="checkbox"/> Reply Brief (____ pgs)
<input type="checkbox"/> Appeal Brief (____ pgs) (in triplicate)	<input type="checkbox"/> Drawings: # of sheets w/ ____ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (____ pgs)	<input type="checkbox"/> Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (____ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (____ pgs)	<input type="checkbox"/> IDS & PTO 1449 (____ pgs)	<input checked="" type="checkbox"/> Transmittal Letter 01 pgs)
<input type="checkbox"/> 1.53(b) Divisional Application (____ pgs)	<input type="checkbox"/> Issue Fee Transmittal (____ pgs)	<input checked="" type="checkbox"/> Fcc Transmittal (01 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (____ pgs)	<input checked="" type="checkbox"/> Notice of Appeal (01 pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> 1.53(d) CPA Transmittal (____ pgs)	<input type="checkbox"/> Petition re _____ (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> PCT Application (____ pgs)	<input type="checkbox"/> Power of Attorney (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Provisional Application (____ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (____ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Assignment & Cover Sheet (____ pgs)	<input type="checkbox"/> Supplemental Declaration (____ pgs)	<input type="checkbox"/> Other: _____
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (____ pgs)	<input type="checkbox"/> Other: _____

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on:

Date of Transmission March 18, 2002

Marilyn Bass

(Typed or printed name of person transmitting paper)

(Signature of person transmitting paper)

FAX COPY RECEIVED

JUN 20 2002

BEST AVAILABLE COPIE

TECHNOLOGY CENTER 2800

Confirmation Report - Memory Send

Time : Mar-18-2002 02:23pm
 Tel line : 3108205988
 Name : BSTZ LA

Job number : 239
 Date : Mar-18 02:21pm
 To : 1087#042390#017033087722#
 Document pages : 005
 Start time : Mar-18 02:21pm
 End time : Mar-18 02:23pm
 Pages sent : 005
 Status : OK
 Job number : 239

*** SEND SUCCESSFUL ***

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

A LIMITED LIABILITY PARTNERSHIP
 INCLUDING LAW CORPORATION
 Telephone (310) 207-8500
 Facsimile (310) 200-9666
 (310) 200-9274
 E-mail: BLAZTECH@AOL.COM
www.blaztech.com

INTELLECTUAL PROPERTY LAW
 12400 WILSHIRE BOULEVARD
 SEVENTH FLOOR
 LOS ANGELES, CA 90025-1030

© 2002 BLAZTECH
 DALLAS, TX
 CHICAGO, IL
 COVINGTON, GA
 SAN DIEGO / IRVINE, CA
 PORTLAND / LANSING, MI
 BOSTON / NEW YORK, NY
 DENVER / ENGLEWOOD, CO

FACSIMILE TRANSMITTAL SHEET

Delivery to: Examiner Joannie Garcia
 Firm Name: U.S. PATENT AND TRADEMARK OFFICE, Art Unit 2823
 Fax Number: (703) 308-2222 or 2224 Telephone No.: (703) 306-8713
 From: William Thomas Babbitt Reg. No.: 39 591
 Date: March 18, 2002 Time:
 BSTZ Matrix: 042390.P2823 Number of pages including cover sheet: 01
 In re Patent Application of: Jishun Wang, John Chu.
 Application No.: 09/476,633
 Filed: December 31, 1999
 Title: REMOVAL OF RESIDUE FROM A SILICATE

Enclosed are the following documents:

Amendment/Response (PDF)	Declaration & PTO (PDF)	Reply Letter (PDF)
Amend. Brief (PDF) (in duplicate)	Drawings: 0 of 0 sheets w/ Mrs.	Small Entity Statement
Utility Application (PDF)	Month Position re Est. of Time	Request in Notice of Non-Use Power (PDF)
1.53(b) Com. Application (PDF)	IDS & PTO 1449 (PDF)	X Transmittal Letter (PDF)
1.53(a) Divisional Application (PDF)	Isamu Hsu Transmittal (PDF)	X Fee Transmittal (PDF) (in duplicate)
1.53(d) CPO Application (PDF)	X Notice of Appeal (PDF)	Other:
1.53(d) CPA Transmittal (PDF)	Notice re (PDF)	Other:
PCT Application (PDF)	Power of Attorney (PDF)	Other:
Provisional Application (PDF)	Registration by Inventor(s) (PDF)	Other:
Assignment & Cover Sheet (PDF)	Supplemental Declaration (PDF)	Other:
X Description of Transmittal by Fax	Faximile Authorization (PDF)	Other:

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being faximile transmitted to the Patent and Trademark Office on:

Date of Transmission: March 18, 2002

Marked Name: *W. Thomas Babbitt*

(typed or printed name appearing on transmittal paper)
W. Thomas Babbitt
 Signature of person transmitting paper

FAX COPY RECEIVED

JUN 20 2002

BEST AVAILABLE COPY

TECHNOLOGY CENTER 2800